



S/N 10/668,580

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hughes et al. Examiner:
Serial No.: 10/668,580 Group Art Unit: 2131
Filed: September 23, 2003 Docket No.: 60001.0026USD1/MS111814.3
Title: Hardware ID to Prevent Software Piracy

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 2, 2004.

By: 

Name: Leonard J. Hope

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2)(i), a copy of the U.S. patents and U.S. patent publications listed on the enclosed Form 1449 are not provided, as this application was filed after June 30, 2003. A copy of any foreign patent document or "Other Document" listed on the Form 1449 is enclosed, in accordance with 37 C.F.R. §1.98(a)(2).

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

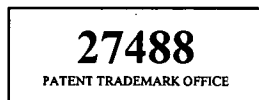
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,
MERCHANT & GOULD

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FORM 1449*

INFORMATION DISCLOSURE STATEMENT

IN AN APPLICATION

(Use several sheets if necessary)

Docket Number:

60001.0026USD1/MS111814.3

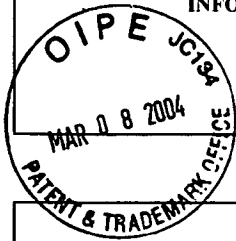
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,199,066	03/1993	Logan	380	4	
	5,357,573	10/1994	Walters	380	25	
	5,379,343	01/1995	Grube et al.	380	4	
	5,491,804	02/1996	Heath et al.	395	275	
	5,502,831	03/1996	Grube et al.	395	427	
	5,509,070	04/1996	Schull	380	4	
	5,666,411	09/1997	McCarty	380	4	
	5,754,864	05/1998	Hill	395	712	
	5,757,907	05/1998	Cooper et al.	380	4	
	5,761,649	06/1998	Hill	705	27	
	5,835,911	11/1998	Nakagawa et al.	707	203	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	EP 0844549 A1	05/1998	EP				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		<u>Silent safeguard – An anti-piracy device lets you hear the bands without the blips</u> ; B. Fox; <i>New Scientist</i> , (Aug. 21, 1999) v.163, n. 2200, p. 12.
		<u>Rallying the disc patrol: protection schemes for CD and DVD</u> ; D. Galante Block; <i>EMedia Professional</i> , (Dec. 1998) v. 11, n. 12 p. 34-8, 40-3.
		<u>Anti-counterfeiting holograms and government anti-piracy activities in China</u> ; Hsu Dahsiung; <i>Proceedings of the SPIE - The International Society for Optical Engineering Conference</i> , (1998) v. 3358, p.318-321.
		<u>CD/DVD piracy: the replicator, the user and the technology</u> ; D.G. Block; <i>EMedia Professional</i> , (Dec. 1997) v.10, n.12, p.92-96, 98-100, 102-104, 106-107.

27488

PATENT TRADEMARK OFFICE

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: 60001.0026USD1/MS111814.3	Application Number: 10/668,580
	Applicant: Hughes et al.	
	Filing Date: Sept. 23, 2003	Group Art Unit: 2131

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,041,411	03/2000	Wyatt	713	200	
	6,243,468	06/2001	Pearce et al.	380	255	

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
		<u>Preventive and deterrent controls for software piracy</u> ; R.D. Gopal and G.L. Sanders; <i>Journal of Management Information Systems</i> (Spring 1997) v.13, n.4, p.29-47.
		<u>Foiling corporate software pirates</u> ; D.H. Freedman; <i>High Technology</i> (July 1995) v.5 n.7, p.62-64.
		<u>Software piracy: stopping it before it stops you</u> ; Mark B. Johnson; <i>Proceedings of the Sixteenth ACM SIGUCCS Conference on User Services</i> , 1988, p. 295-299.
		<u>Software watermarking: models and dynamic embeddings</u> ; Christian Colberg and Clark Thomborson; <i>Proceedings of the 26th ACM SIGPLAN-SIGACT Symposium on Principles of Programming Languages</i> , 1999, p. 311-324.

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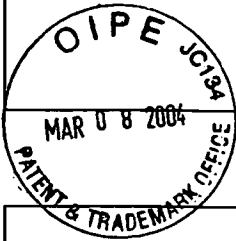
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	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		<u>How to prove where you are: tracking the location of customer equipment</u> ; Eran Gabber and Avishai Wool; <i>Proceedings of the 5th ACM conference on Computer and Communications Security</i> , 1998, p. 142-149.
		<u>Handling site-licensing agreements and public domain software architecture</u> ; John D. Chovan; <i>Proceedings of the ACM SIGUCCS XIII Conference on User Services: pulling it all together</i> , 1985, p. 175-179.
		<u>Digital signets: self-enforcing protection of digital information (preliminary version)</u> ; Cynthia Dwork, Jeffrey Lotspiech and Moni Naor; <i>Proceedings of the Twenty-eighth Annual ACM Symposium on Theory of Computing</i> , 1996, p. 489-498.

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